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SEP 28 2005

JC06 Rec'd PCT/PTO 28 SEP 2005

PTO/SB/21 (08-00)

Approved for filing through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

10/527,753

Filing Date

March 14, 2005

First Named Inventor

ÄNGQUIST *et al.*

Group Art Unit

TBA

Examiner Name

TBA

Total Number of Pages in This Submission

Attorney Docket Number

43315-214728

## ENCLOSURES (check all that apply)

### ☒ Fee Transmittal Form

☐ Fee Attached

☐ Preliminary Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☒ Response to Missing  
Requirements under 35  
U.S.C. 371

### ☒ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☒ Consent of Assignee to Correction  
of Inventorship

☒ Petition to Correct Inventorship

☒ Power of Attorney

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

1. Declaration
2. PTO-1449 (4 references)
3. Statement Regarding  
Inventorship
4. PCT/IB/306

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

**\*26694\***

**26694**

PATENT TRADEMARK OFFICE

Signature

Eric J. Franklin, Reg. No. 37,134

*Eric J. Franklin*

Date

September 28, 2005

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450b on this date: \_\_\_\_\_

Typed or printed name

Signature

Date

**VENABLE**  
ATTORNEYS AT LAW

SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, 22313-1450.

# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

SEP 28 2005  
PATENT & TRADEMARK OFFICE

Complete If Known

TOTAL AMOUNT OF PAYMENT (\$)

170

Application Number 10/527,753  
Filing Date March 14, 2005  
First Named Inventor ÅNGQUIST et al.  
Examiner Name TBA  
Group / Art Unit TBA  
Attorney Docket No. 43315-214728

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number 22-0261
- Deposit Account Name Venable LLP
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☒ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee(\$)	Small Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
1011	300	2011	150	Utility filing fee	
1012	200	2012	100	Design filing fee	
1013	200	2013	100	Plant filing fee	
1014	300	2014	150	Reissue filing fee	
1005	200	2005	100	Provisional filing fee	
1081	250	2081	125	Utility App. Size Fee	
1082	250	2082	125	Design App Size Fee	
1083	250	2083	125	Plant App. Size Fee	
1084	250	2084	125	Reissue App Size Fee	
1085	250	2085	125	Prov. App Size Fee	

SUBTOTAL (1)

(\$0)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
-20 **	X		
-3**	X		
	X		

Large Fee Code	Entity Fee(\$)	Small Fee Code	Entity Fee(\$)	Fee Description
1202	50	2202	25	Claims in excess of 20
1201	200	2201	100	Independent claims in excess of 3
1203	360	2204	180	Multiple dependent claim, if not paid
1204	200	2204	100	** Reissue independent claims in excess of three
1205	50	2205	25	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

## FEE CALCULATION (continued)

Fee Code	Lrg Ent Fee (\$)	Fee Code	Sm Ent Fee (\$)	Fee Description	Fee Paid
1111	500	2111	250	Utility Search Fee	
1112	100	2112	50	Design Search Fee	
1113	300	2113	150	Plant Search Fee	
1114	500	2114	250	Reissue Search Fee	
1311	200	2311	100	Utility Examination Fee	
1312	130	2312	65	Design Examination Fee	
1313	160	2313	80	Plant Examination Fee	
1314	600	2314	300	Reissue Examination Fee	
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2215	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	
1501	1,400	2501	700	Utility issue fee (or reissue)	
1502	800	2502	400	Design issue fee	
1503	1,100	2503	550	Plant issue fee	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	\$40
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	

Other fee (specify) Petition Fee

130

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$170)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Eric J. Franklin  
Signature *Eric J. Franklin*  
Reg No. Attorney/Agent 37,134  
Date September 28, 2005  
Telephone 202-344-4000

VENABLE  
ATTORNEYS AT LAW

PC Docs No. 684894

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. SEND TO: Commissioner for Patents, Mail Stop Patent Application, Alexandria, VA 22313-1450.

10/527753 #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: ÄNGQUIST *et al.*  
 Appl'n No.: 10/527,753  
 Filed: March 14, 2005  
 Conf. No.: 9166  
 For: WIND POWER FED NETWORK  
 Atty. Dkt.: 43315-214728



) Group Art Unit: TBA  
 ) Examiner: TBA  
 )  
 ) RESPONSE TO  
 ) NOTIFICATION OF  
 ) MISSING  
 ) REQUIREMENTS  
 )  
 ) 26694  
 ) U.S. PATENT AND  
 ) TRADEMARK OFFICE  
 )

September 28, 2005

Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements dated September 14, 2005,  
 submitted herewith are the following:

- Copy of Notification of Missing Requirements of Nonprovisional Application;
  - Executed copy of Declaration;
  - Petition to Correct Inventorship;
  - Statement Regarding Inventorship;
  - Consent of Assignee to Correction of Inventorship;
  - Power of Attorney;
  - Notification of the Recording of a Change, adding Inventor;
  - Executed copy of Assignment, with Recordation Cover Form Sheet.
  - PCT/IB/306;
  - Petition Fee; and
  - Assignment Recordation Fee
- |                             |                  |
|-----------------------------|------------------|
|                             | \$ 130.00        |
|                             | <u>\$ 40.00</u>  |
| <b>Total fees enclosed:</b> | <b>\$ 170.00</b> |



Should any greater or lesser fee be required, please charge or credit our Deposit Account No. 22-0261 and advise us accordingly.

Date: 9/28/05

Respectfully submitted,

Eric J. Franklin, Reg. No. 37,134  
Attorney for Applicants  
VENABLE LLP  
575 7<sup>th</sup> Street, NW  
Washington, DC 20004  
Telephone: (202) 344-4936  
Facsimile: (202) 344-8300

EJF



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/527,753	Lennart Angquist	43315-214728

INTERNATIONAL APPLICATION NO.
PCT/SE02/01664

LA. FILING DATE	PRIORITY DATE
09/13/2002	

26694  
 VENABLE LLP  
 P.O. BOX 34385  
 WASHINGTON, DC 20045-9998

CONFIRMATION NO. 9166

371 FORMALITIES LETTER



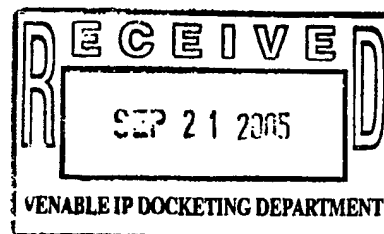
\*OC000000016985157\*

Date Mailed: 09/14/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/14/2005
- Copy of the International Search Report filed on 03/14/2005
- Preliminary Amendments filed on 03/14/2005
- U.S. Basic National Fees filed on 03/14/2005



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

BEST AVAILABLE COPY

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/527,753	PCT/SE02/01664	43315-214728

FORM PCT/DO/EO/905 (371 Formalities Notice)

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Ankom

2005 -04- 11

GF - LC / IP

## PATENT COOPERATION TREATY

US FB

PCT

From the INTERNATIONAL BUREAU

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

To:

ABB GROUP SERVICES CENTER AB  
Legal & Compliance/Intellectual  
Property  
S-721 78 Västerås  
SwedenDate of mailing (day/month/year)  
04 April 2005 (04.04.2005)Applicant's or agent's file reference  
9450WO/MB/GHInternational application No.  
PCT/SE2002/001664

## IMPORTANT NOTIFICATION

International filing date (day/month/year)  
13 September 2002 (13.09.2002)

## 1. The following indications appeared on record concerning:

☒ the applicant ☒ the inventor ☐ the agent ☐ the common representative

Name and Address

State of Nationality

State of Residence

Telephone No.

Facsimile No.

Teleprinter No.

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

☒ the person ☐ the name ☐ the address ☐ the nationality ☐ the residence

Name and Address

WONG, Willie

State of Nationality

State of Residence

SE

Telephone No.

Facsimile No.

Teleprinter No.

## 3. Further observations, if necessary:

Cancellation of IB/306 mailed on 02 March 2003. the nationality and address were not  
informed to the International Bureau. The person identified in Box 2 should be added to  
the record as applicant for US only and inventor for all designated States.

## 4. A copy of this notification has been sent to:

☒ the receiving Office ☒ the designated Offices concerned  
☐ the International Searching Authority ☐ the elected Offices concerned  
☐ the International Preliminary Examining Authority ☐ other:The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Authorized officer

Kinam HA (fax 338-7090)

Facsimile No. (41-22) 338.70.90

Telephone No. (41-22) 338 8031

Form PCT/IB/306 (March 1994)

006652445

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